

Bio-inspired Chemo-mechanical Nanocomposites for Biomedical Applications



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Stuart J. Rowan,^{1,2,3,4} Dustin Tyler,^{1,2} and Christoph Weder^{1,3,4}

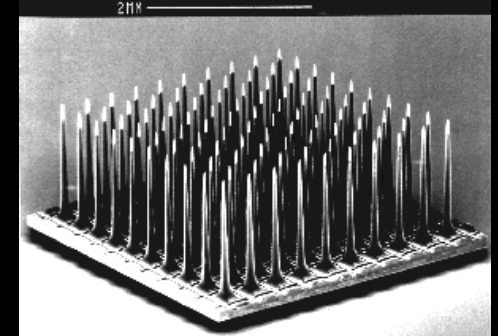
1. Advanced Platform Technology Center, L. Stokes Cleveland VA Medical Center
2. Department of Biomedical Engineering, Case Western Reserve University
3. Macromolecular Science and Engineering, Case Western Reserve University
4. Department of Chemistry, Case Western Reserve University

Introduction

The Brain Computer Interface

Intracortical microelectrode - penetrating electrodes implanted into the cortex of the brain

Improve the quality of life of persons that have sustained central nervous system disability resulting from **spinal cord injury, head trauma, stroke, Parkinson's disease, ALS, and other neurological disorders.**



<http://www.bioen.utah.edu/cni/projects/blindness.htm#overview>



Offers the potential to:

- Record electrical pulses of individual neurons
- Restore communication to damaged neural pathways used to control organs and limbs

Limited clinical implementations

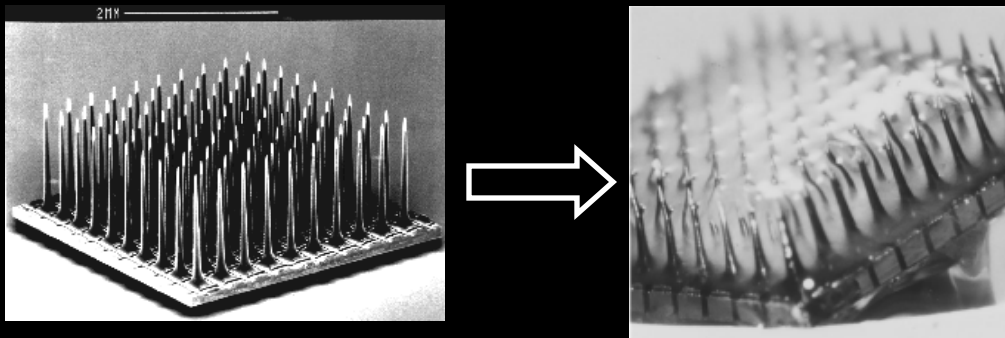
- Kennedy et al. = limited horizontal control of cursor
- Hochberg et al. = both horizontal and vertical control of cursor, email, and simple computer games.

Kennedy, et al., *IEEE Trans, Rehabil. Eng.*, 2000. Hochberg, et al., *Nature*, 2006. Taylor, et al. *Science*, 2002. Santhanam, et. al., *Nature*, 2006. Nicolelis, et al. *Proc Natl Acad Sci USA*, 2003, New York Times 2008.

Introduction

- **Major Roadblock: Stop Working, why?**

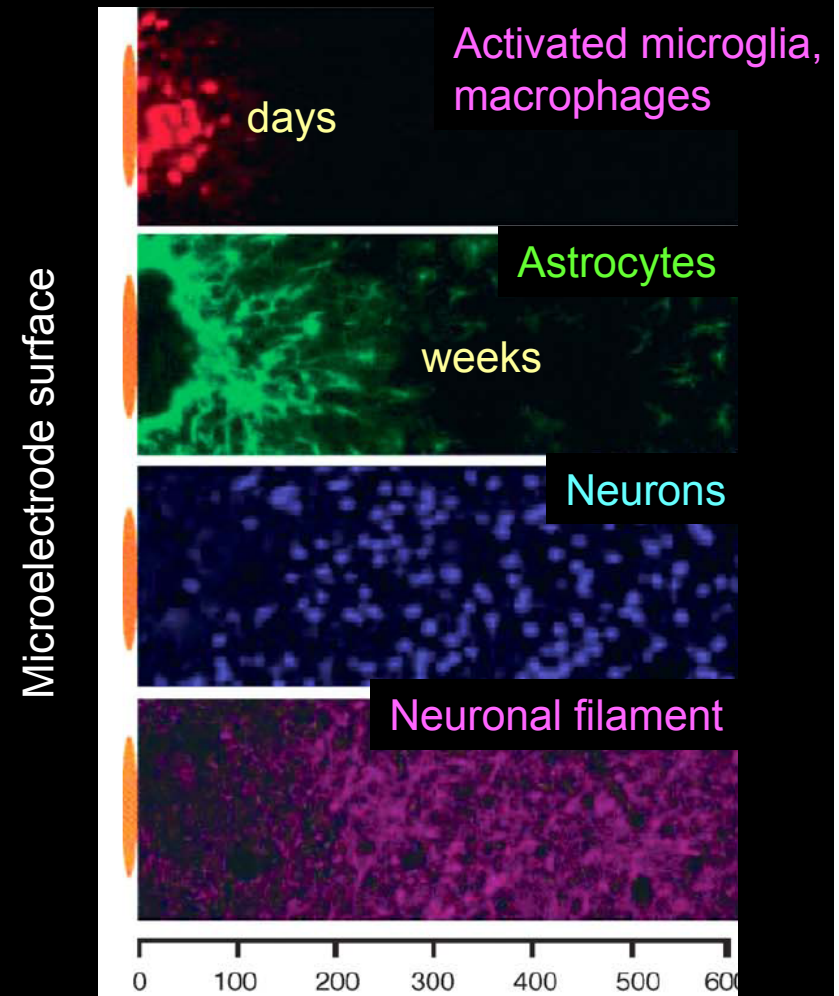
- **Tissue Response:** Formation of Glial scar
- **Reduces lifetime of the probe**
 - rapid signal decay 60 days to 18 months post-implant



10 month explant –
Gliosis: a dense fibrous network of neuroglia (supporting cells)

Leading hypothesis attributes this effect to the mechanical mismatch between the brain and electrode materials

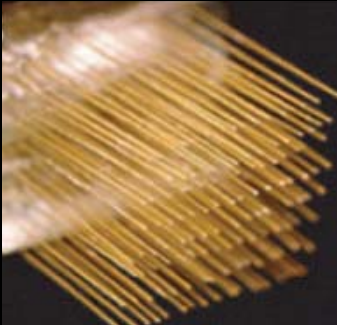
The Brain Computer Interface



“Dead zone” = >100 μm (4 weeks);
Recording site must be <20 μm from cell body to determine between neurons

Introduction

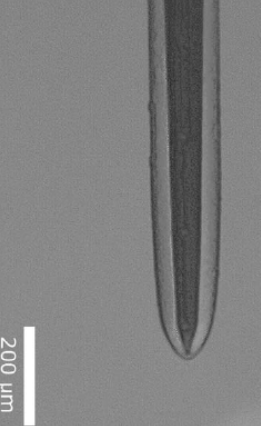
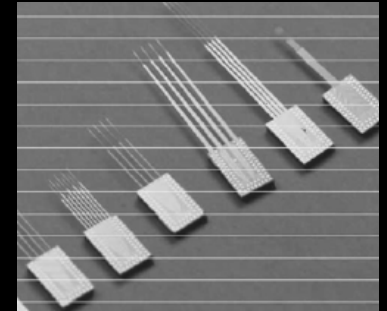
Main Classes of Intracortical Electrodes



Microwire or Silicon – e.g. Tungsten, Stainless steel, or other biocompatible metal

Advantages: Insertion, easily arrayed, fabricated

Disadvantages: **Gliosis forms**, signal loss for 1/2 units, month for good units



Polymer coatings to traditional probes – Hydrogel coating polymer.

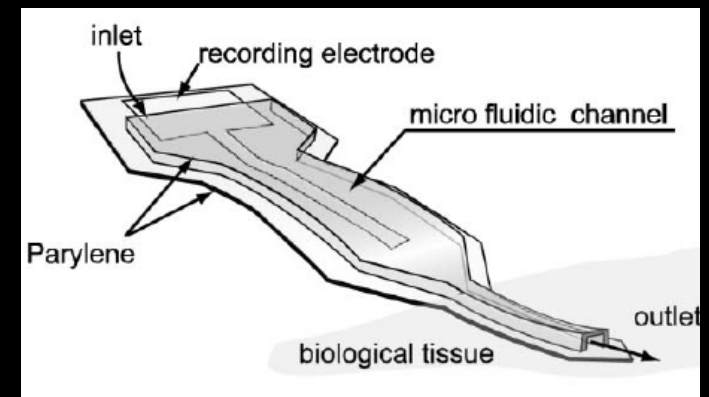
Advantages: Reduce mechanical stiffness encountered by brain, elute bioactive molecules

Disadvantages: **Neurons farther from recording sites – decreased signal quality**, swelling (> 100 x), long term performance of hydrogel, effective silicon stiffness

Polymer substrates – polyimide, PDMS, parylene

Advantages: Fabrication, multiple configurations, built in circuitry, DRUG elution

Disadvantages: **Gliosis forms**, difficult to insert (pia piercing), water uptake, limited data



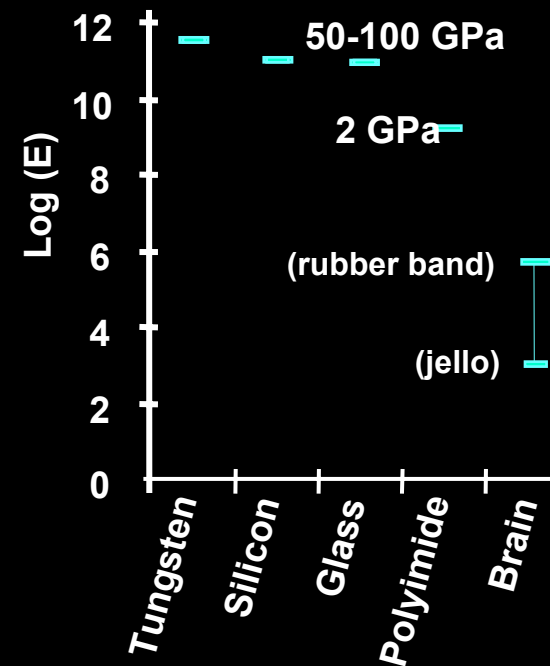
Objective / Hypothesis

Mechanically Adjustable Cortical Implants

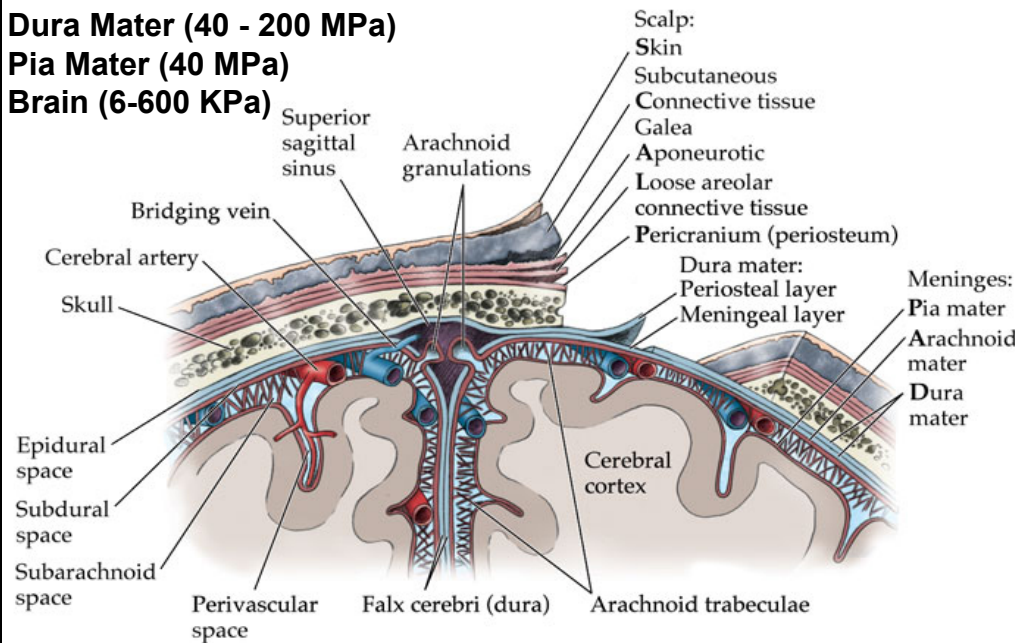
Goal: Design cortical electrodes that exhibit reduced tissue response to allow for a longer useful lifetime.

Tissue Response: Formation of Glial scar, reduces lifetime and S/N ratio of electrode

- Cellular Response to Implanted Material
- Mechanical Mismatch between the brain and electrode, Micromotion



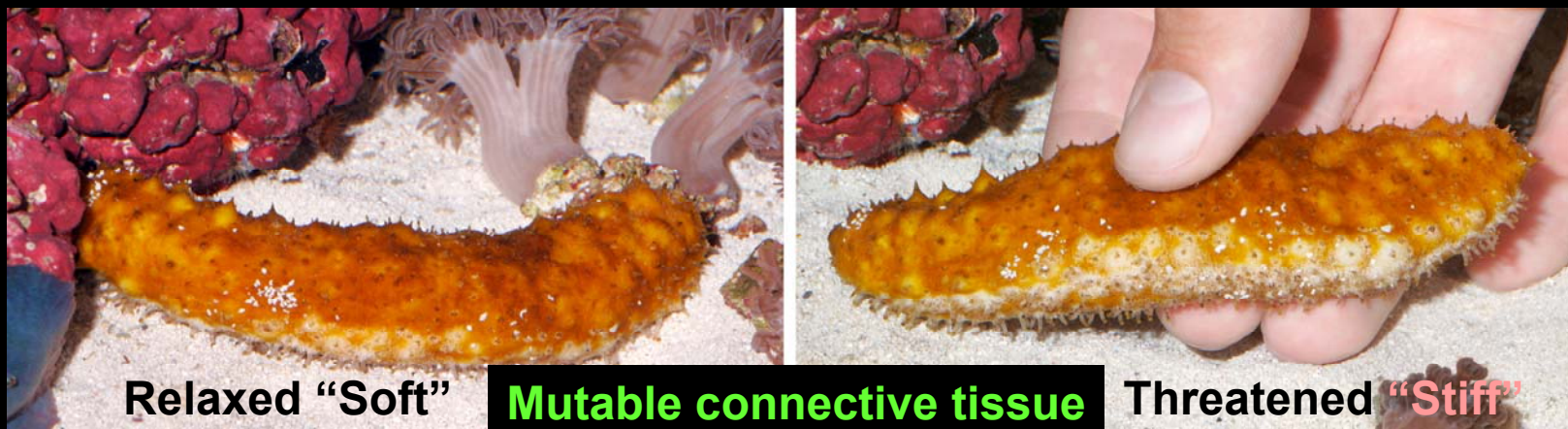
Dura Mater (40 - 200 MPa)
Pia Mater (40 MPa)
Brain (6-600 KPa)



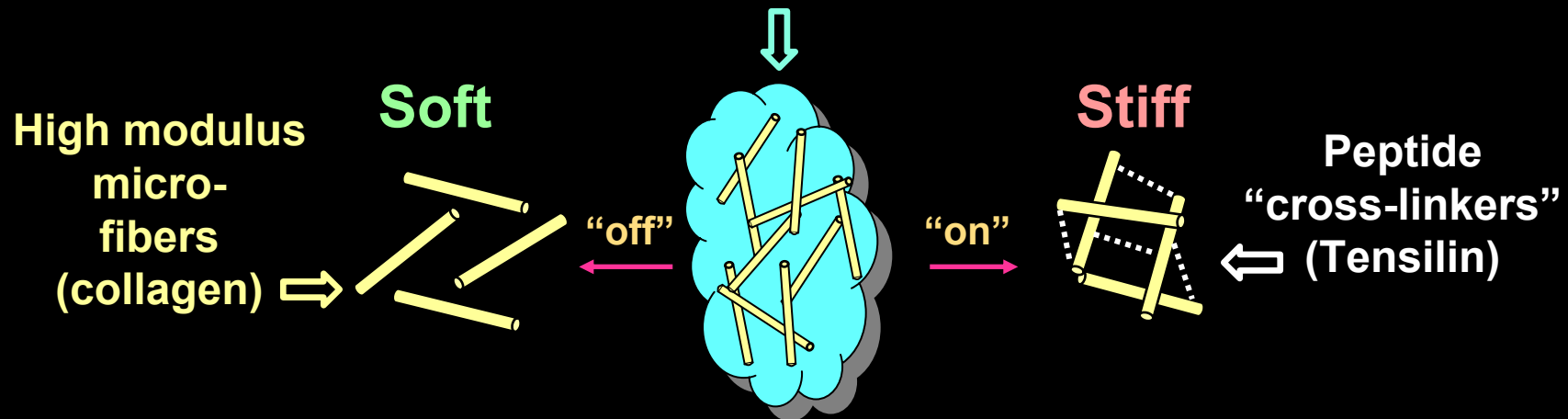
Hypothesis

An electrode should be **stiff** enough to allow insertion into the cortex but become compliant (**soft**) after implantation to match the stiffness of cortical tissue.

The Sea Cucumber's Dermis A Chemo-mechanical Nanocomposite



Low modulus matrix (collagen, fibrillin, H₂O)



Stiffening through secretion of protein (tensilin)

Effect is reversed through proteinases

In vitro: 5 to 50 MPa in microseconds

Cellulose Whiskers

High-Aspect Ratio Cellulose Nanofibers

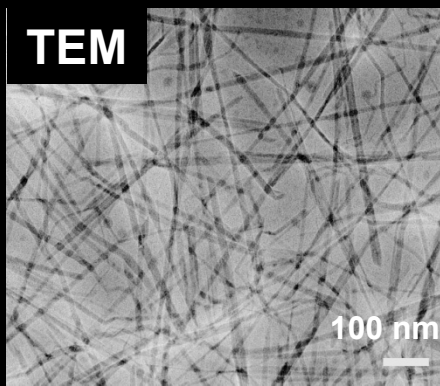


Tunicates

1. Base



2. Acid
(H_2SO_4)



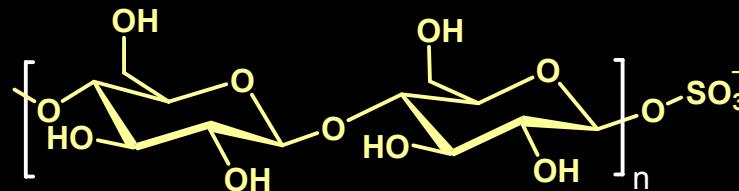
TEM

100 nm

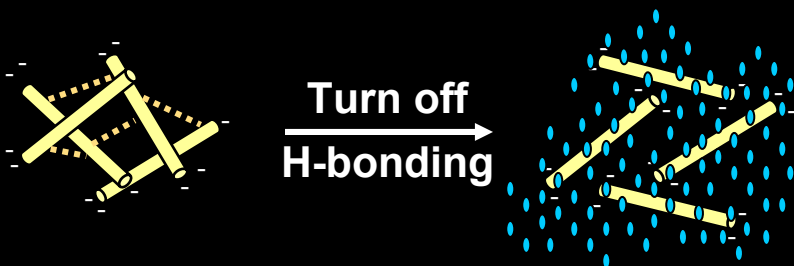
High aspect ratio cellulose fibers

$$l = 2.20 \pm 0.20 \mu\text{m}$$

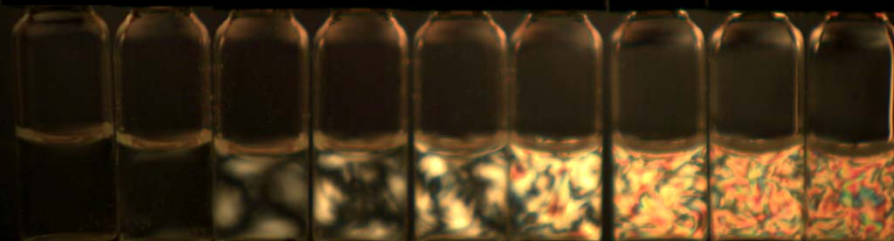
$$d = 20.0 \pm 3.0 \text{ nm}$$



Sulfuric acid hydrolysis introduces sulfate surface groups, which impart dispersibility in water



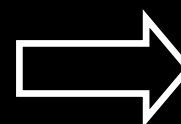
Birefringent dispersions



0.1 0.5 1.0 2.0 3.0 4.0 5.0 6.0 7.0
mg/mL H_2O .



Solution cast



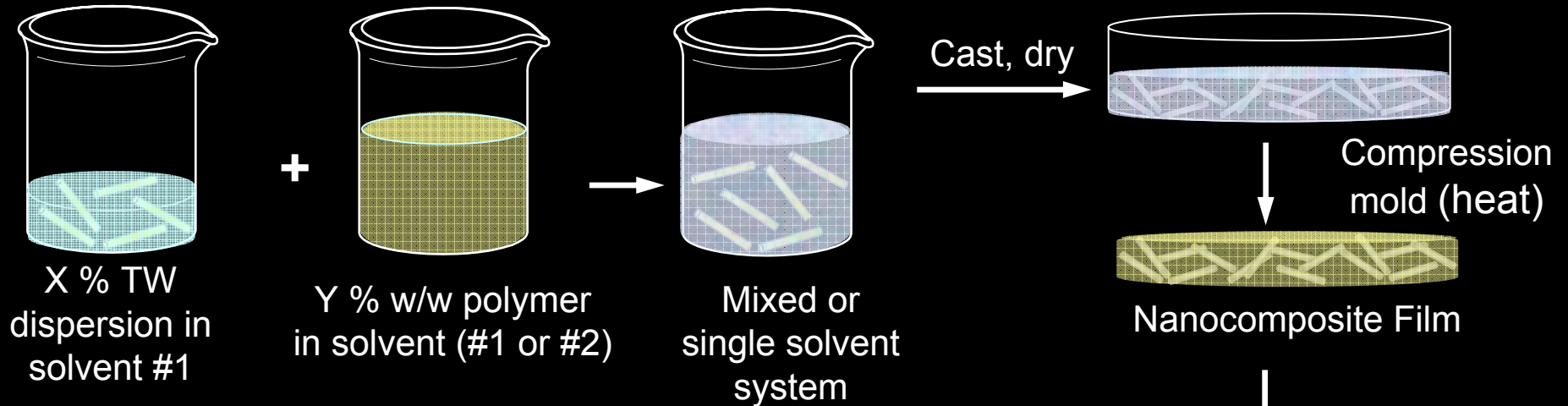
Whisker film

$E' = 3.9 \text{ GPa}$

Marchessault et al. *Nature* **1959**, 184, 632. Favier, Chanzy, Cavallé *Macromolecules* **1995**, 28, 6365. van den Berg, Capadona, Weder *Biomacromolecules* 2007, 8(4): 1353-1357.

TW Nanocomposites

Traditional Processing



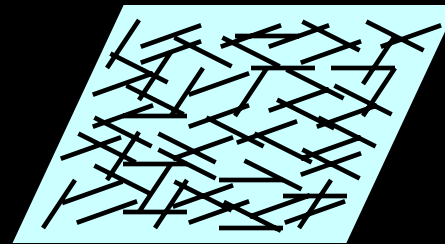
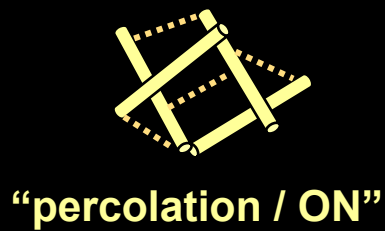
Weight fraction TW: 0, 1, 5, 10, 15, 20 %

Apply oscillatory stress and measure strain to determine the Young's modulus of the material

Young's modulus is a measure of the stiffness of a material

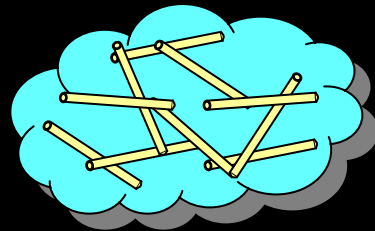
Gel	10-100 KPa
Rubber	10-100 MPa
Polyethylene (LDPE)	200 MPa
Polypropylene	1-2 GPa
Nylon	3-7 GPa
Glass	65-90 GPa
Kevlar	130-180 GPa
Carbon Nanotube (single)	>1000 GPa

1) Percolation model



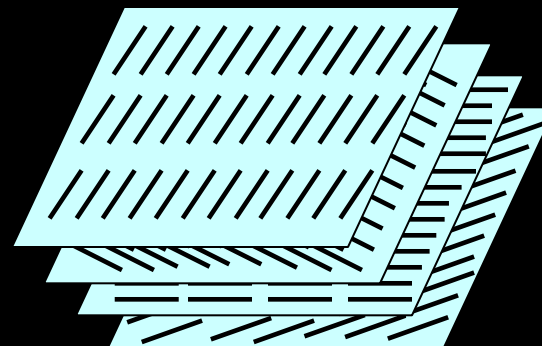
“complete interconnected network of fillers within the matrix, in which ALL fillers are connected to each other through a filler-filler interactions to complete a series”

Polymer Composites, 1996, v17, p 604-611; *Polymer Eng & Sci* 1997, v37, p1732-39 ; *Acta mater*, 1997 v45, p1557-65; *Polymer Eng & Sci* 1997, v37, p1732-39



2) Halpin-Kardos / Halpin-Tsai: Mean field approach

“mean field or percolation OFF”

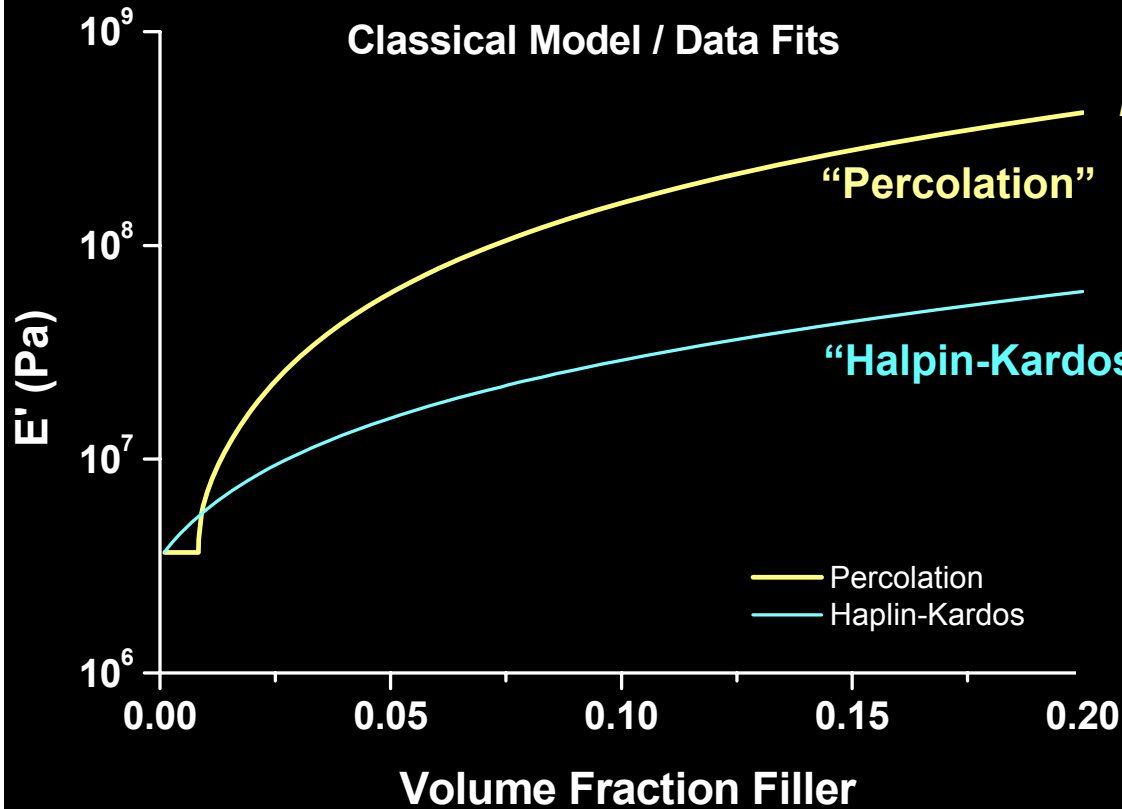


0°
45°
90°
-45°

“fibers are assumed to be smeared into a matrix to form a homogeneous continuum with no interactions between filler taken into account”

EO-EPI/TW Nanocomposites

Classical Data Fits



Significant increase in **mechanical reinforcement** with **whisker-whisker** interactions

Takayanagi, Uemura, Minami *J. Polym. Sci. C* 5, 113 (1964); Hajji, Cavaille, Favier, Gauthier, Vigier *Polym. Comp. V* 17, 612 (1996)

$$E' = \frac{(1 - 2\psi X_r)E_s E_r + (1 - X_r)\psi E_r^2}{(1 - X_r)E_r + (X_r - \psi)E_s}$$

$$\psi = X_r \left[\frac{X_r - X_c}{1 - X_c} \right]^{0.4}$$

$$E'_c = 4U_5(U_1 - U_5)/U_1$$

$$U_1 = 1/8(3Q_{11} + 3Q_{22} + 2Q_{12} + 4Q_{66})$$

$$U_5 = 1/8(Q_{11} + Q_{22} - 2Q_{12} + 4Q_{66})$$

$$Q_{11} = E_L / (1 - \nu_{12} * \nu_{21})$$

$$Q_{22} = E_T / (1 - \nu_{12} * \nu_{21})$$

$$Q_{12} = \nu_{12} * Q_{22} = \nu_{21} * Q_{11}$$

$$Q_{66} = G_{12}$$

$$\nu_{12} = \phi_f * \nu_f + \phi_m * \nu_m$$

$$G_{12} = G_m(1 + \eta * \phi_f) / (1 - \nu * X_f)$$

$$\eta = (G_f/G_m - 1) / (G_f/G_m + 1)$$

$$E_T = E_m(1 + 2 * \eta_T * \phi_f) / (1 - \eta_T * \phi_f)$$

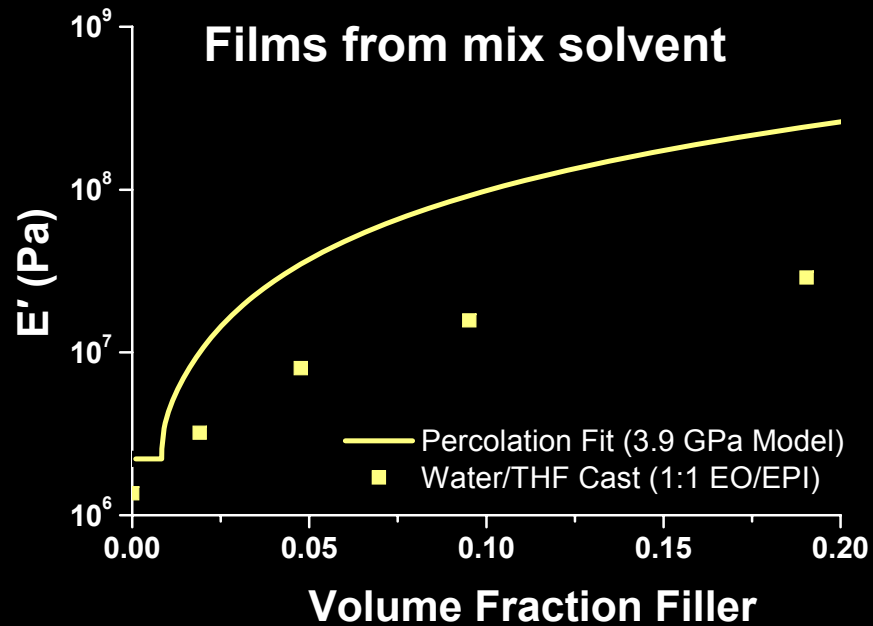
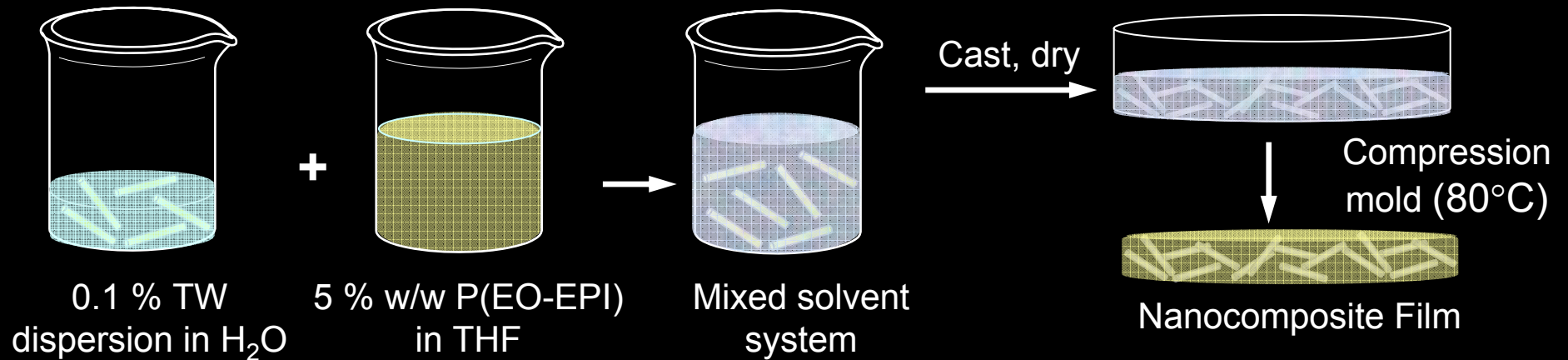
$$\eta_T = ((E_{Tf}/E_m) - 1) / ((E_{Tf}/E_m) + 2)$$

$$E_L = E_m(1 + 2(L/D) \eta_L * \phi_f) / (1 - \eta_L * \phi_f)$$

$$\eta_L = ((E_{Lf}/E_m) - 1) / ((E_{Lf}/E_m) + 2(L/D))$$

Solution Casting -Processing

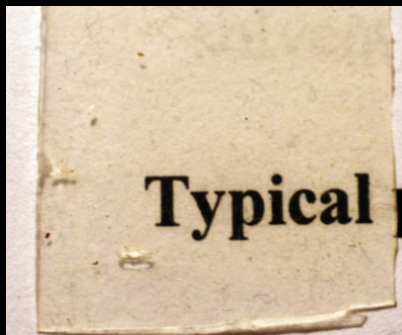
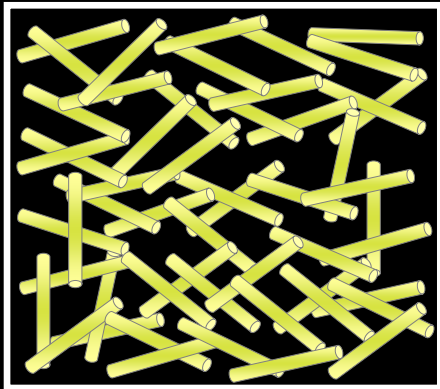
Dual Solvent Systems



Falls short of predicted reinforcement, **does not** mimic the “threatened” sea cucumber

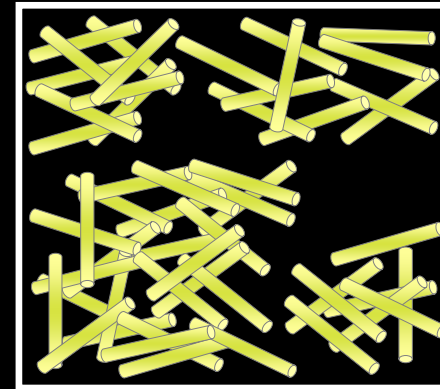
Single solvent system

Evenly Dispersed
Percolating Whisker Network



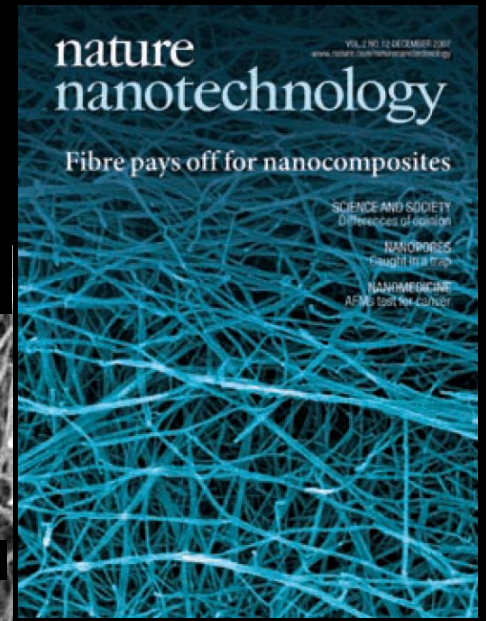
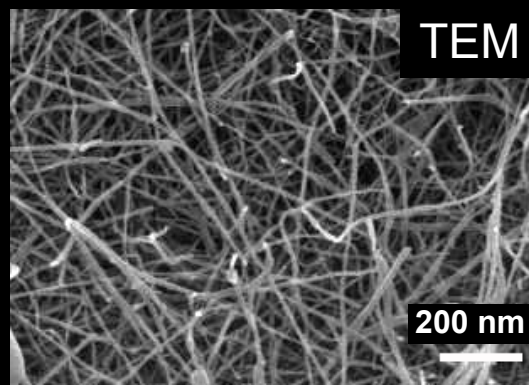
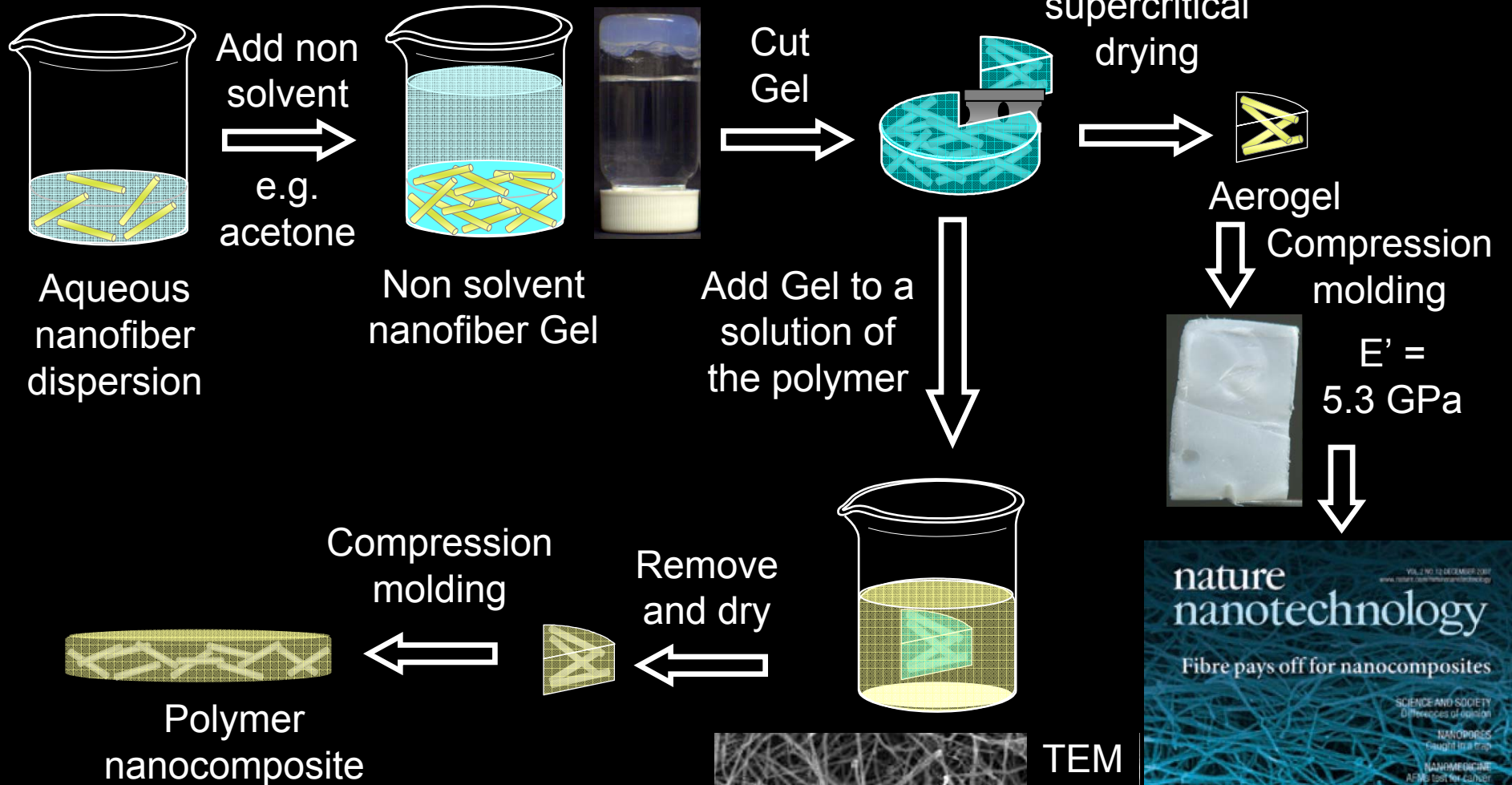
Dual solvent system

Phase Segregated
Non-Percolating Whisker Network



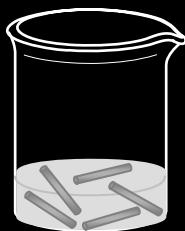
Novel Nanocomposite Fabrication Scheme

Gel Approach



Capadona, et al. *Nat. Nanotech.* 2007 2(12); 765-769.

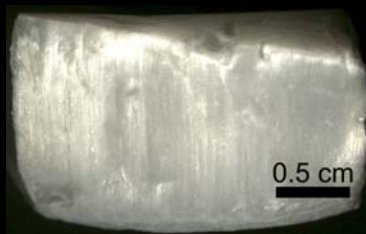
0.8 % TW
dispersion in
H₂O



Freeze dry



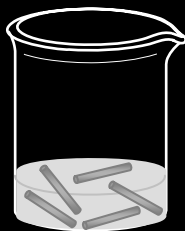
TW aerogel

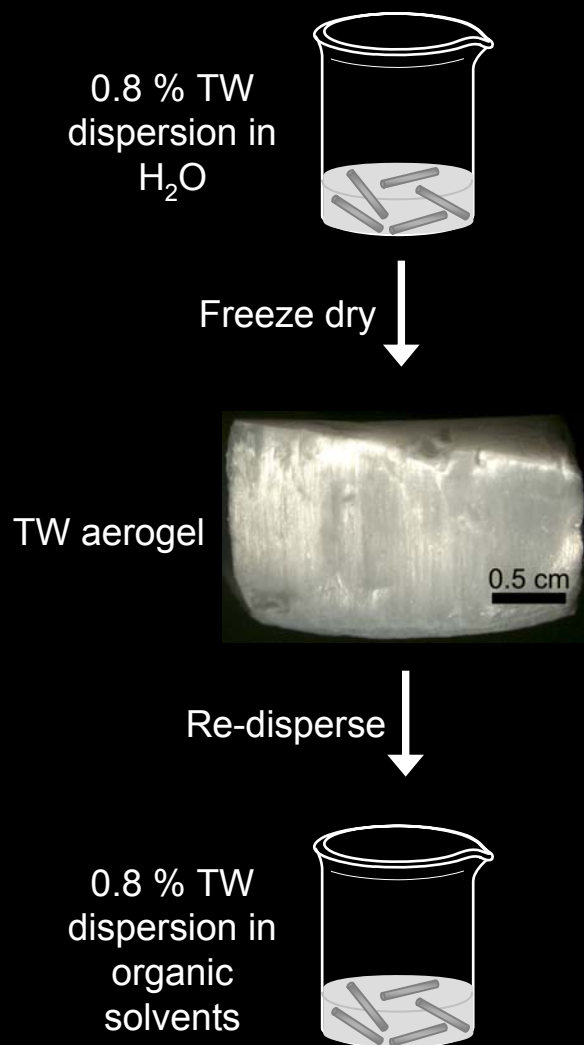


Re-disperse

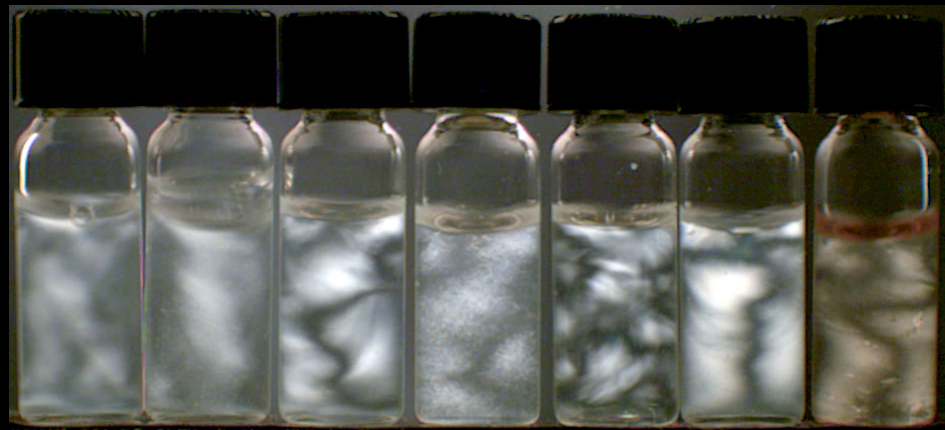


0.8 % TW
dispersion in
organic
solvents





Dispersions of freeze-dried, re-dispersed TWs (5 mg/mL)



H₂O* H₂O DMF DMSO NMP FA m-cresol

“New” solvents broaden processing options!

van den Berg, Capadona, Weder *Biomacromolecules* **2007**, *8*(4): **1353-1357**.

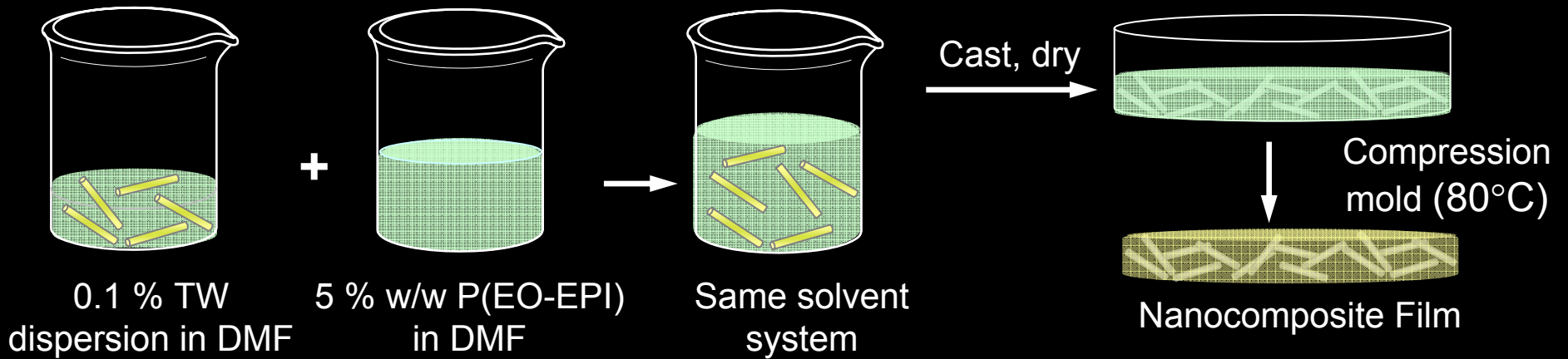
Azizi et al. *Macromolecules* **2004**, *37*, 1386.

Marcovich et al. *J. Mater. Res.* **2006**, *21*, 870.

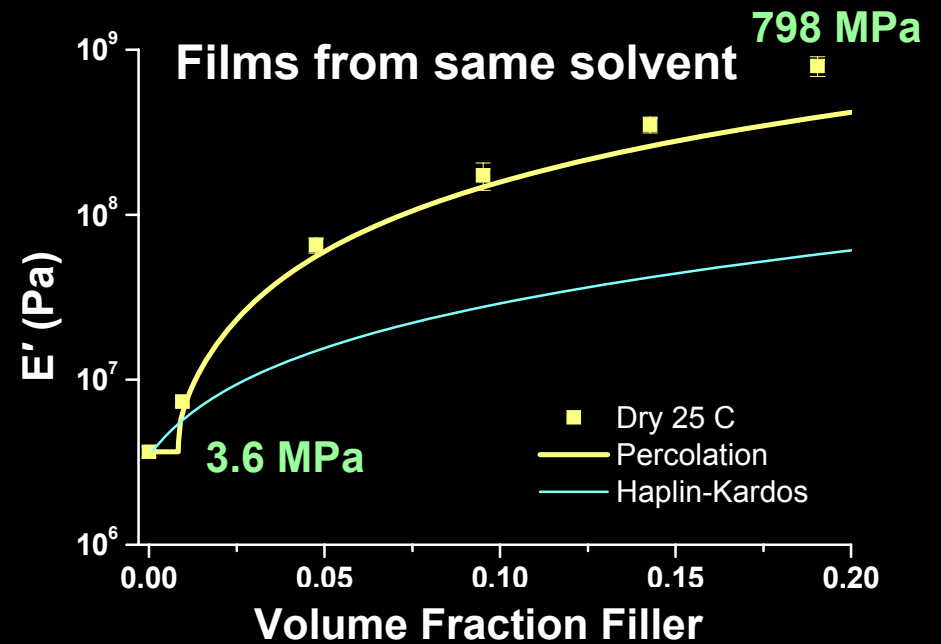
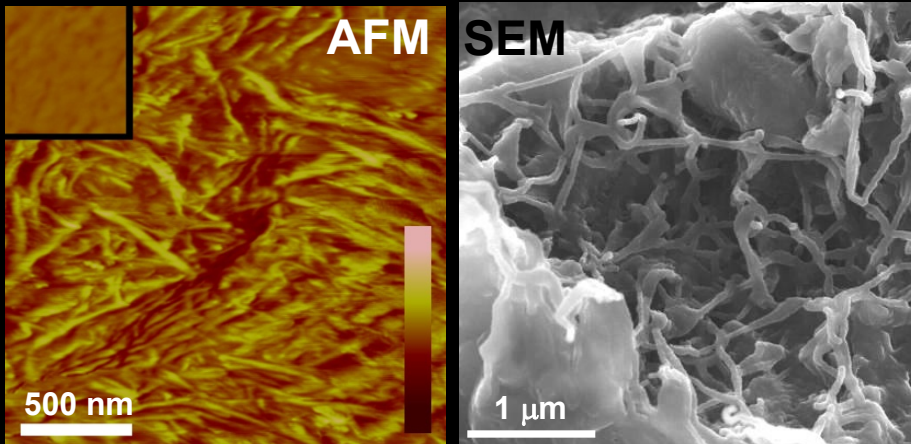
Tubak, A.; Snyder, F.; Sandberg, K. *US Patent 4378381 (1983)*.

Solution Casting -Processing

Single Solvent Systems



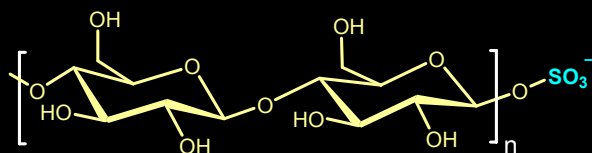
10 % w/w TW nanocomposite



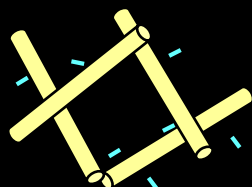
Data now fit percolation model demonstrating reinforced nanocomposites in the "ON" state

Mechanical "Switching"

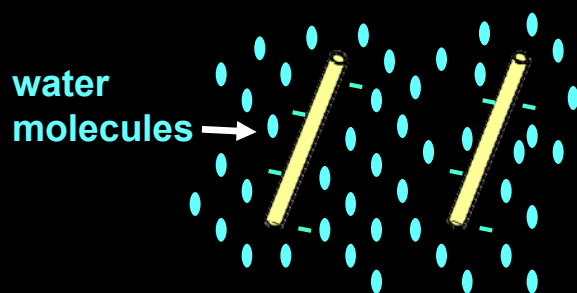
Mechanism



6% of sugar residues charged



Hydrogen bonding between whiskers yields percolating networks → **Gel**



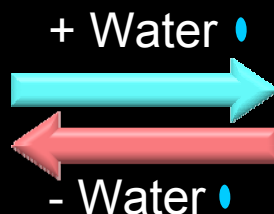
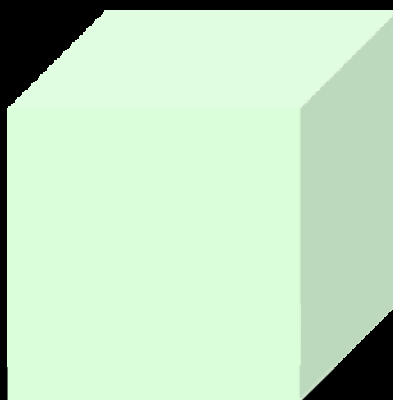
Hydration of fibers along with negative charge minimizes whisker interactions

→ **Dispersed Solutions**

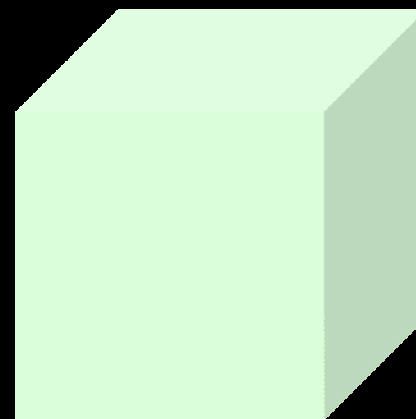


Chemo-responsive Nanocomposites?

**Stiff
Film**



**Soft
Film**

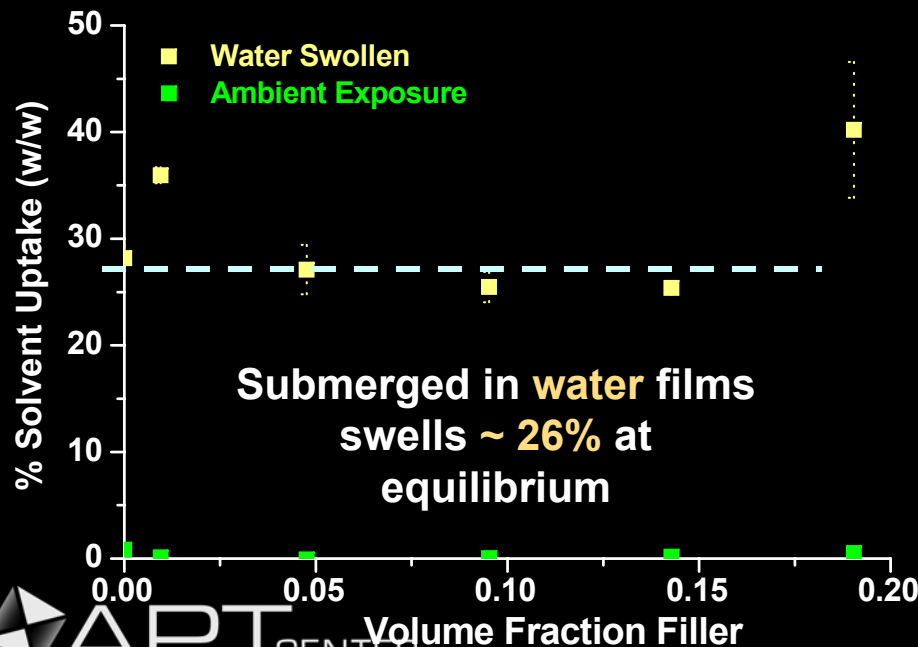
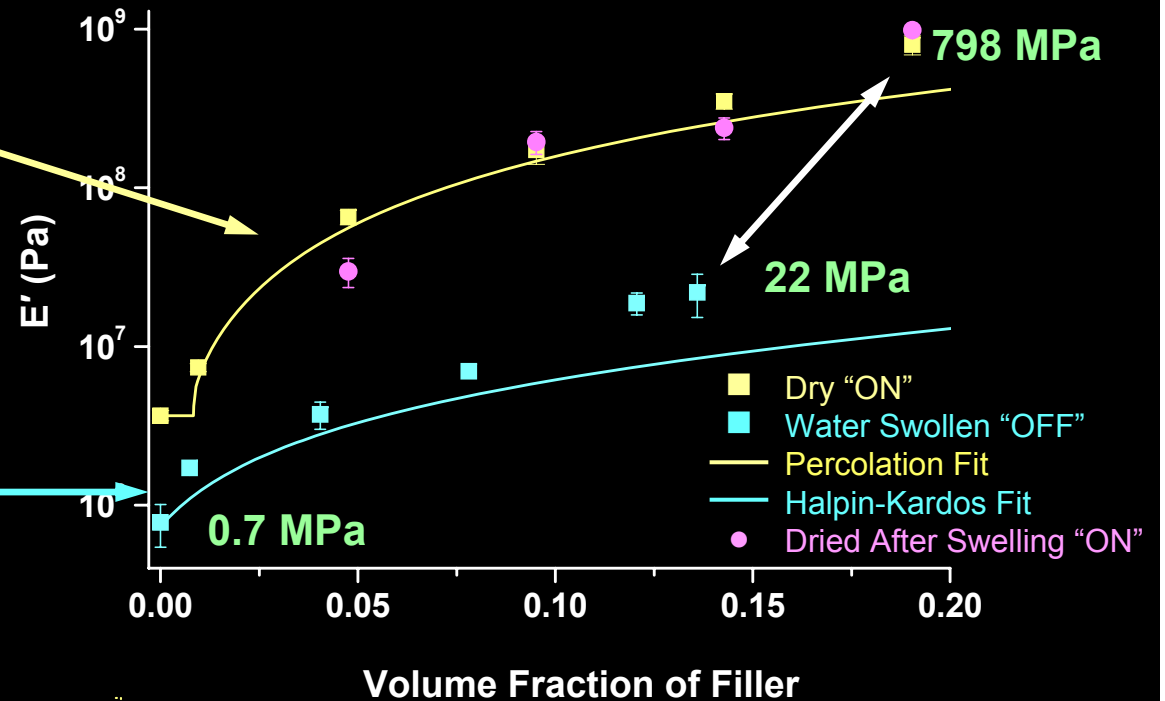
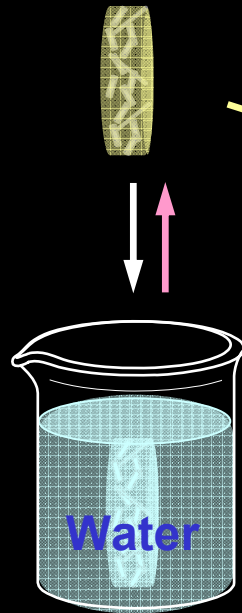


Whisker interaction "on"

Whisker interaction "off"

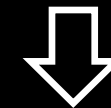
Mechanical "Switching"

By Hydration



Submerged in water films swells ~ 26% at equilibrium

Hydrated composites no longer fit percolation ("ON") model, but fall just above the Halpin - Kardos ("OFF")

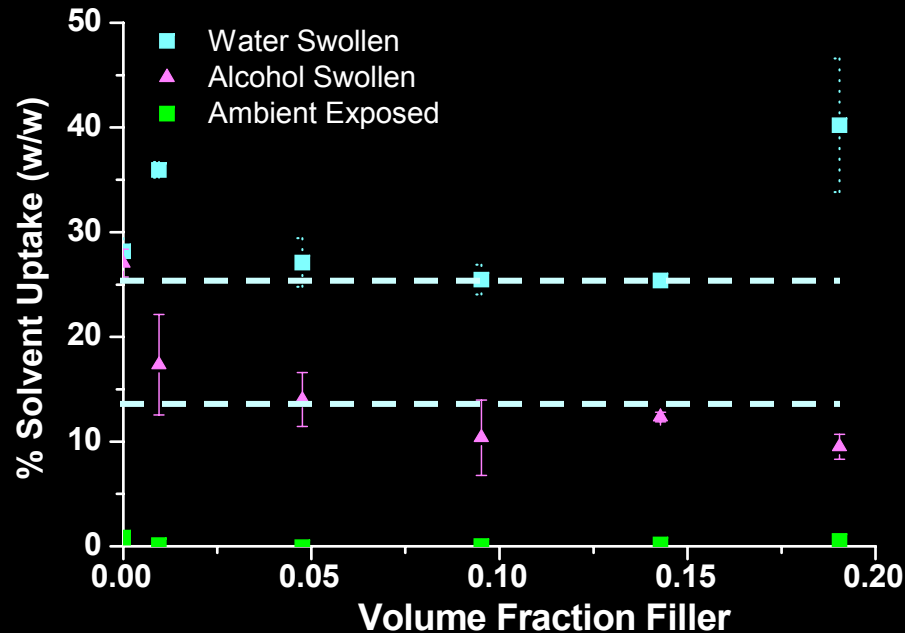


System is Reversible

Is the Mechanical Switching Caused by.....

Simple Swelling?

Solvent Uptake in Nanocomposite



Submerged in solvent until at swollen equilibrium;

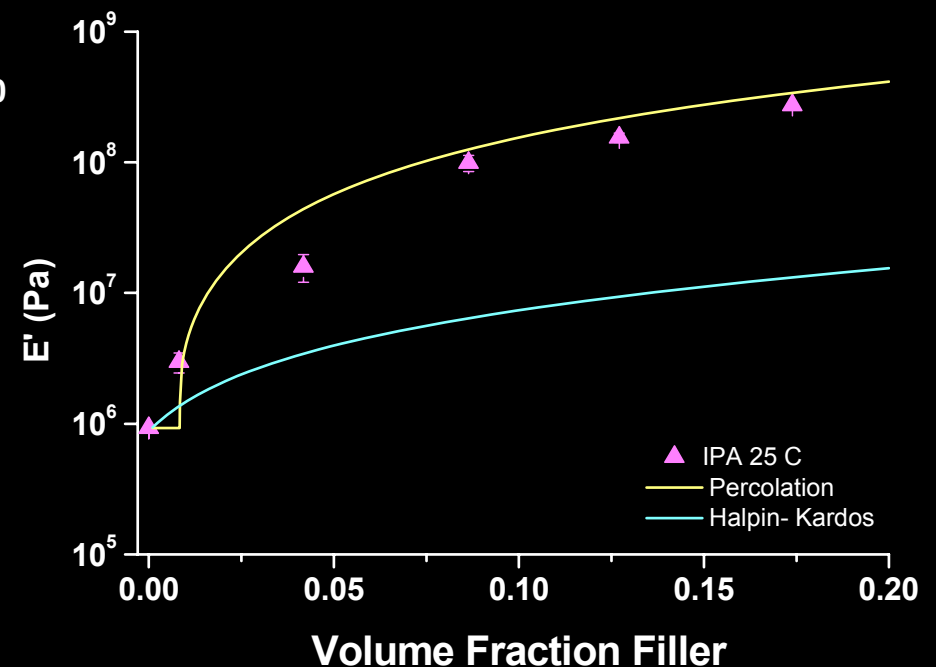
Water ~ 26%,

Isopropanol ~ 14%

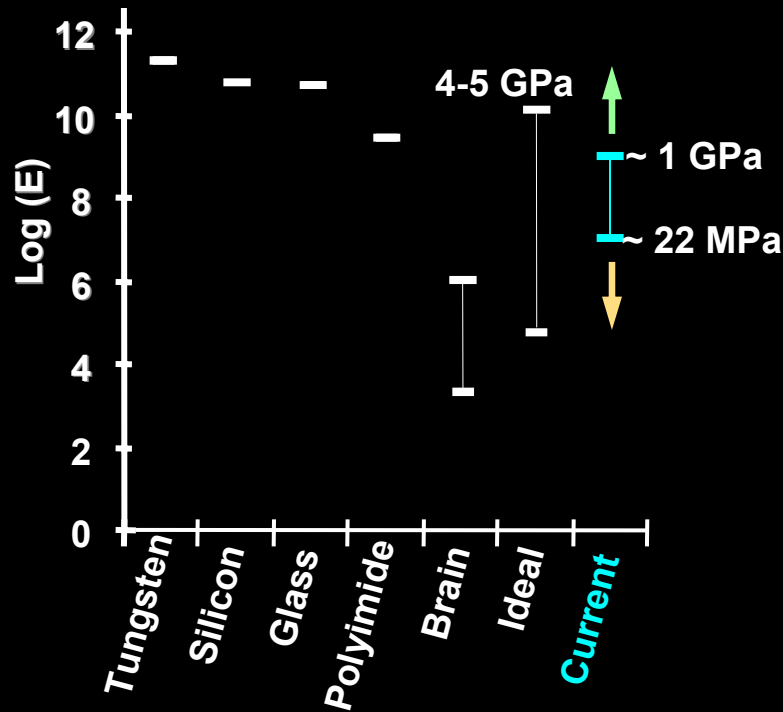
Alcohol swollen nanocomposites do not “switch off” the whisker percolation



Response is chemoselective



Summary So Far and Next Steps



Over all goal of mechanical switching over **4 to 6 orders of magnitude**, demonstrated **2 orders**.

Need to **decrease modulus** in “OFF” state, and **increase modulus** in “ON” state.

Decrease → complete the dissociation of whisker – whisker interactions

Increase → use synergistic effects to increase overall modulus

Surface Modifications to Cellulose

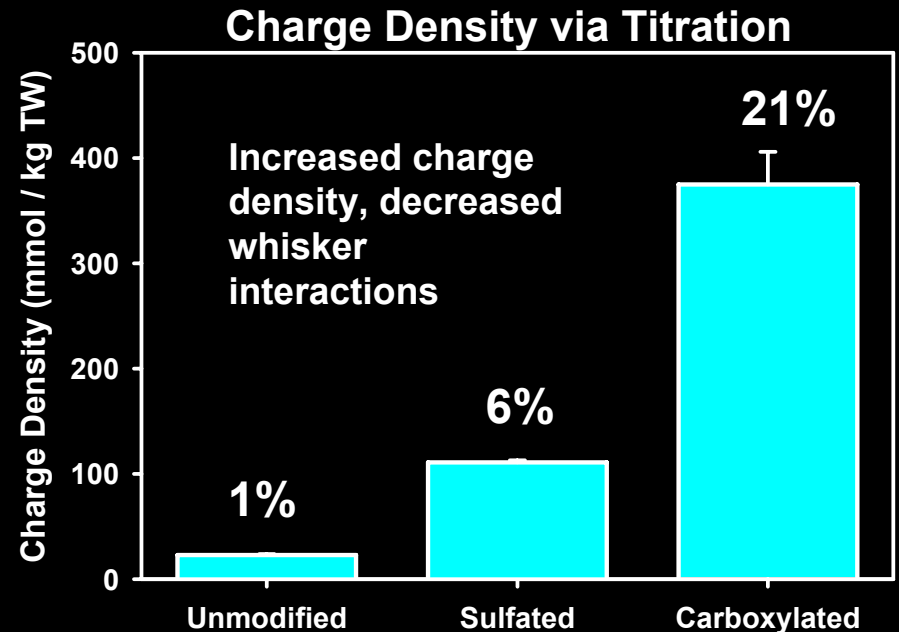
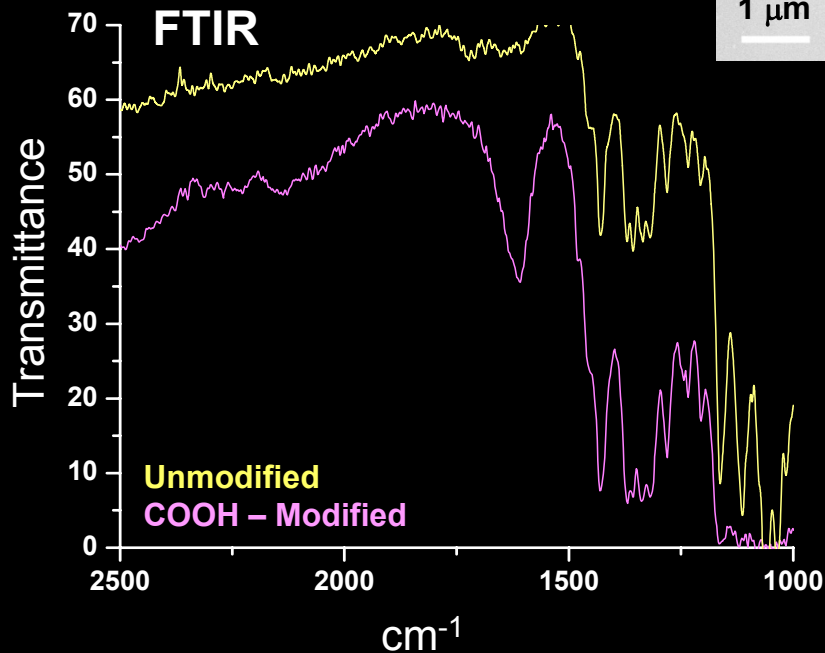
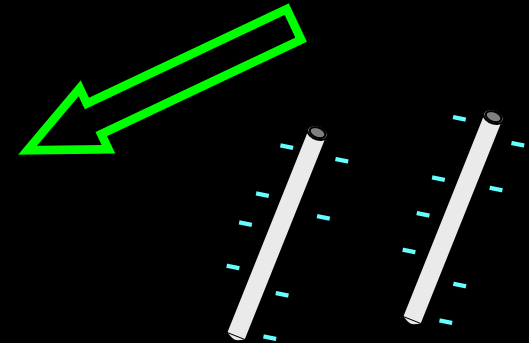
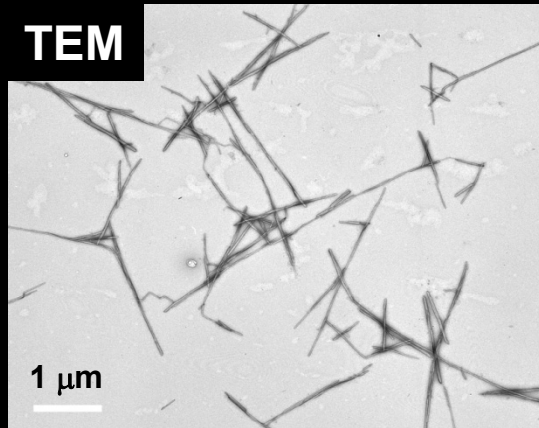
Methods



1. Base
2. Acid (HCl)

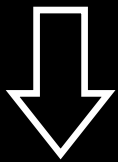


$L = 735 \text{ nm} \pm 573 \text{ nm}$
 $d = 10 \text{ nm} \pm 3.4 \text{ nm}$
Aspect ratio = 75

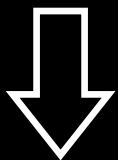


Decreasing the Modulus of the “OFF” State

Tune “OFF” state through chemical modifications of the whiskers.

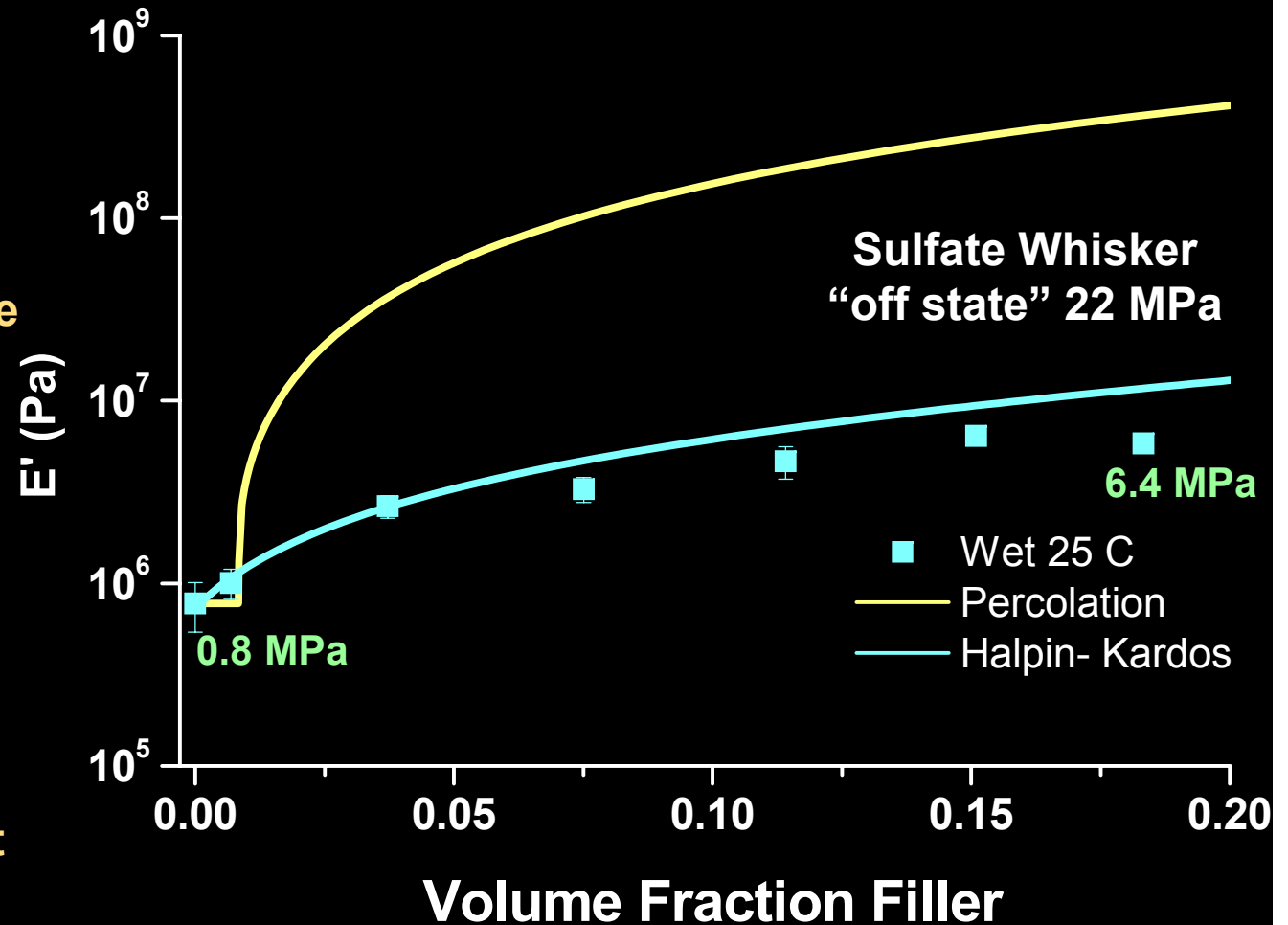


Suggests more **complete dissociation** of the whisker – whisker interactions.



6.4 MPa is within range of current goals for **soft – compliant materials** for cortical probes.

COO⁻ whisker : P(EO-EPI) Nanocomposite



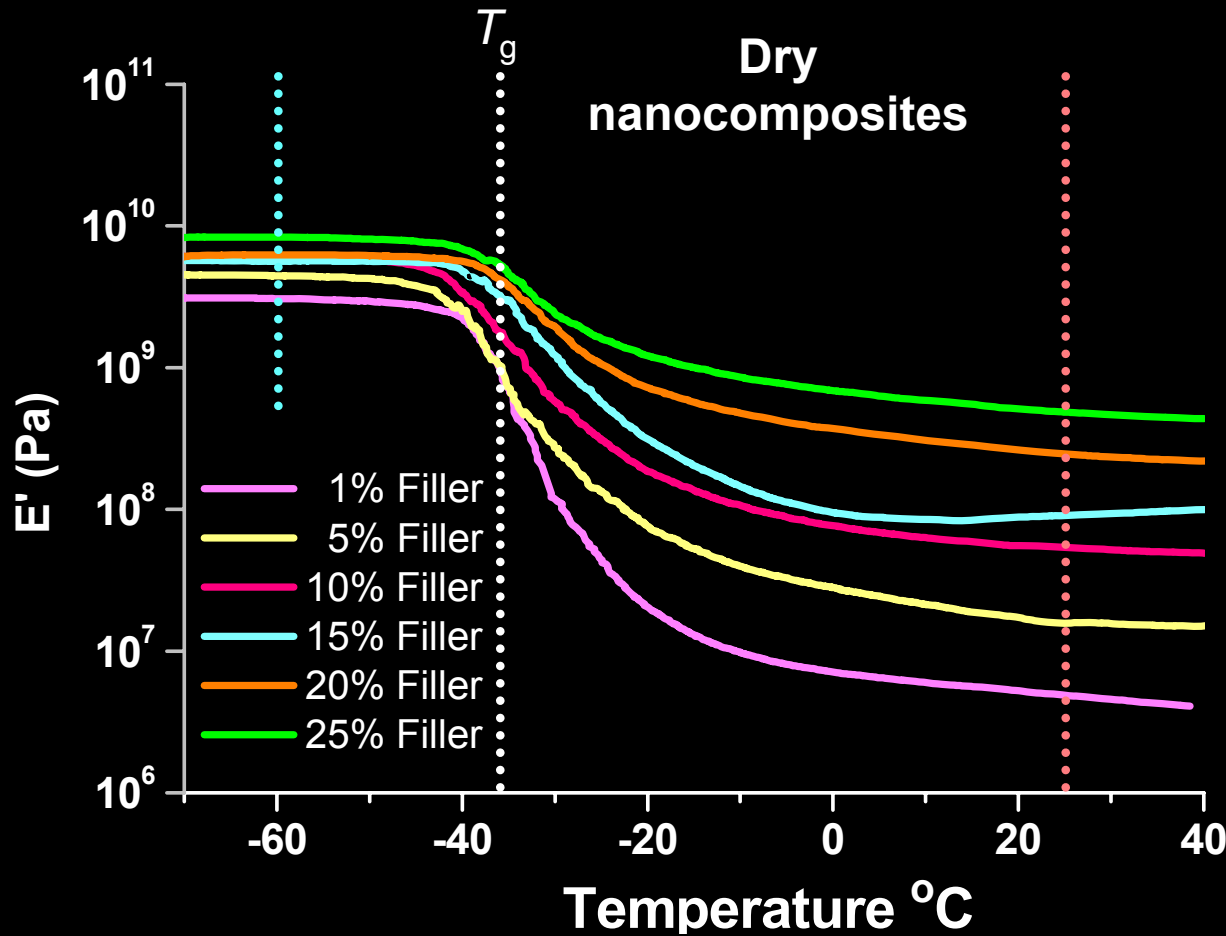
Increased contrast by 1 order of magnitude!!

- **Systems evaluated thus far have only exploited reinforcement properties within the rubbery state of the matrix polymer**
- **Utilize thermal induced transitions within the matrix polymer**
 - **Glass transition temperature (T_g)**
 - **Often accompanied by a significant mechanical change**

Synergistic Mechanism

Using T_g to Increase the "ON" Modulus

COO⁻ whisker : P(EO-EPI) Nanocomposite - Full Temperature Sweep



Nanocomposites with dramatic **1000 fold modulus contrast** from "Stiff" to "Soft" states.



Temperature range not applicable to cortical probes (biomaterials)

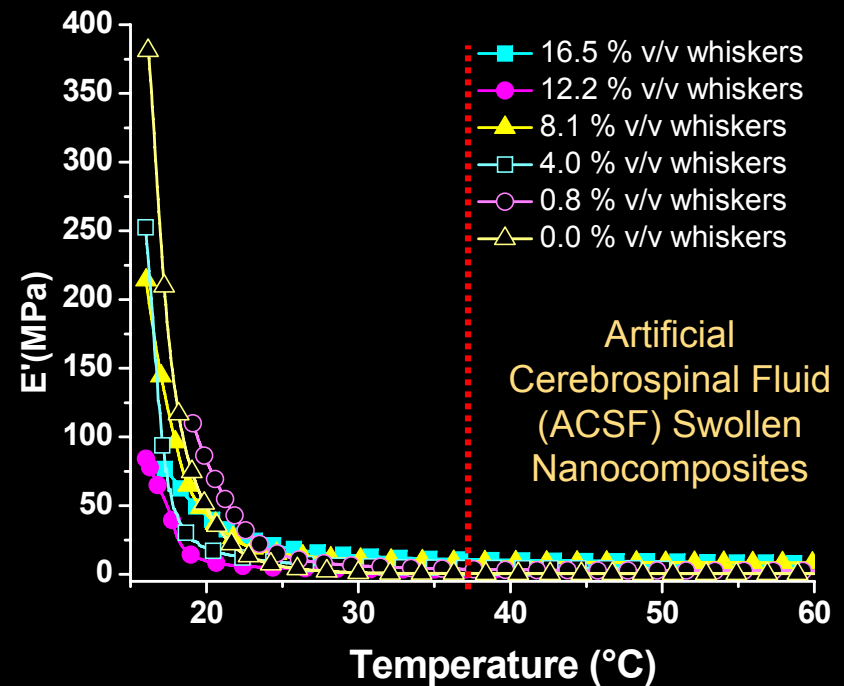
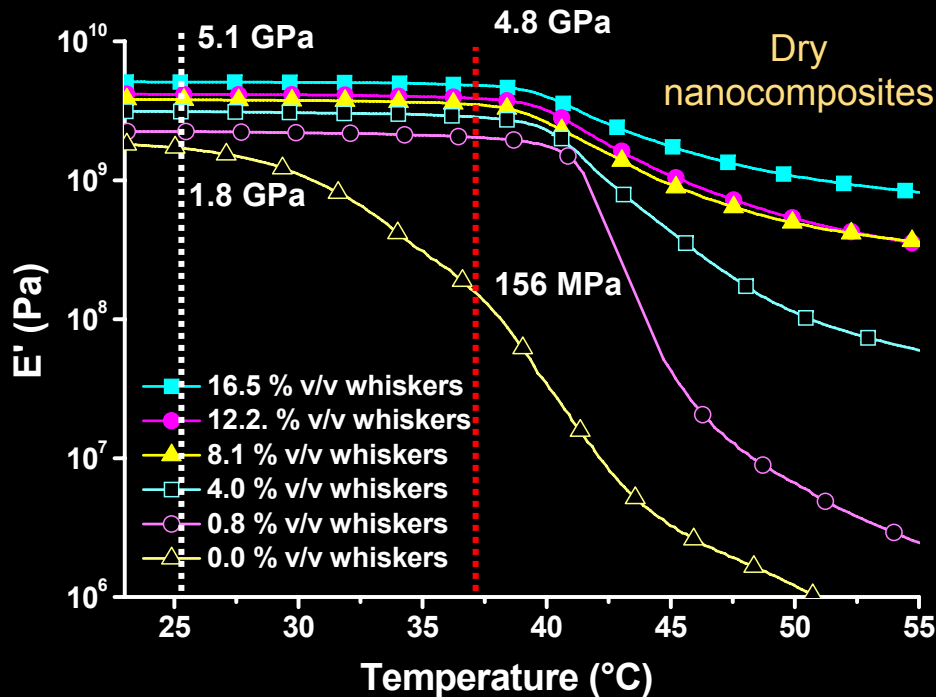
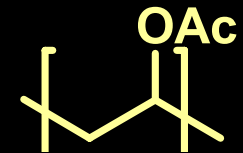
Filler (15%)	Dry - 60°C	Dry 25°C
SO ₄ ⁻ -TW	10.7 GPa	798 MPa
CO ₂ ⁻ -TW	6.3 GPa	235 MPa

Second Generation Materials

Poly(vinyl acetate) nanocomposites

Poly(vinyl acetate) nanocomposites

T_g (~42°C) above room temperature and physiological temperature
 Minimal aqueous swelling (4.5%) allows for synergistic switching mechanisms

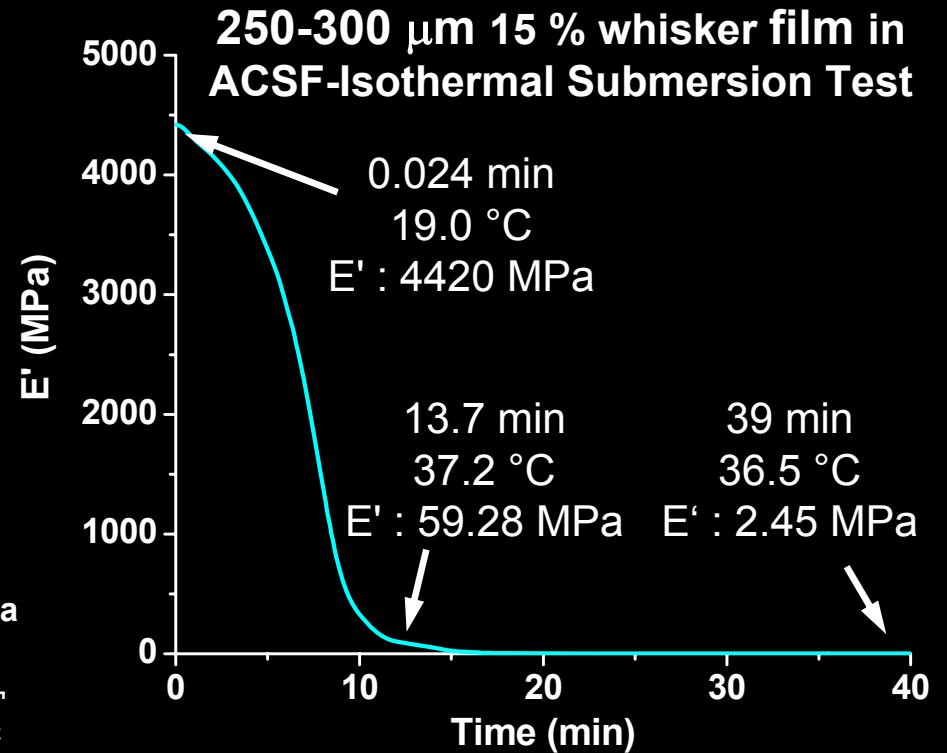
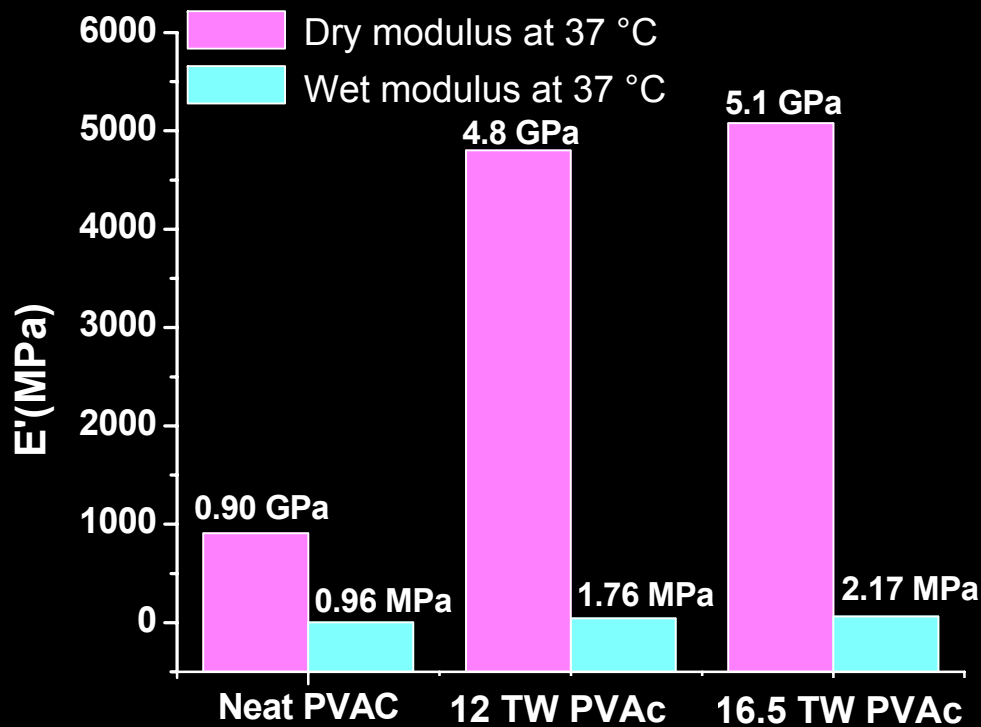


Demonstrated significant reinforcement with increased filler density above and below T_g

T_g shifted to higher temperatures with the introduction of cellulose fillers

Aqueous swelling plasticizes nanocomposites, lowering T_g to below physiological

“Switching” of the PVAc Nanocomposites Application Relevant



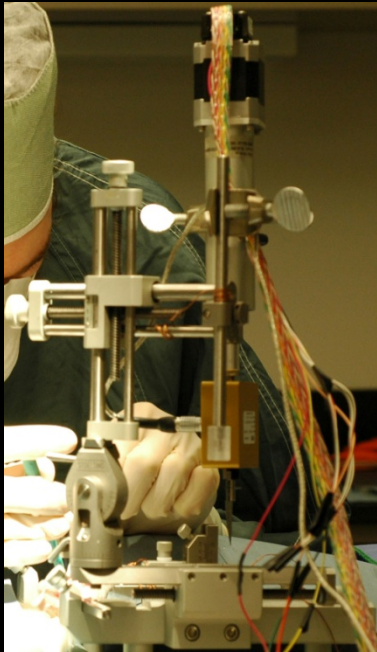
Relatively fast mechanical switching from GPa to MPa range

Initial degradation studies show no loss of mass or mechanical integrity after 3 months

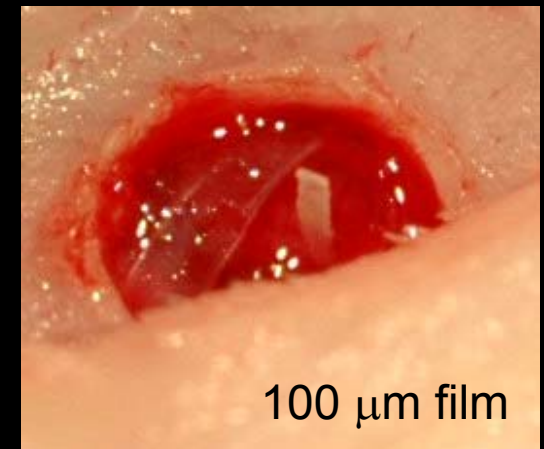
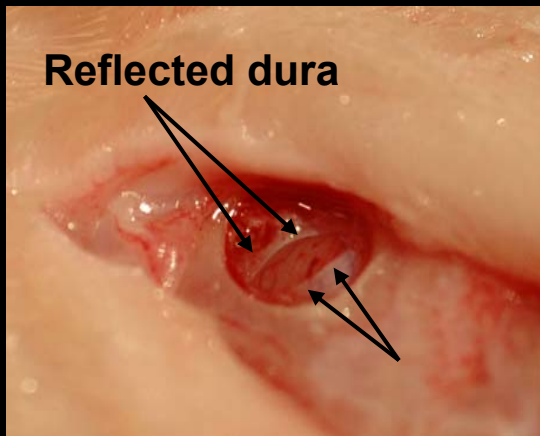
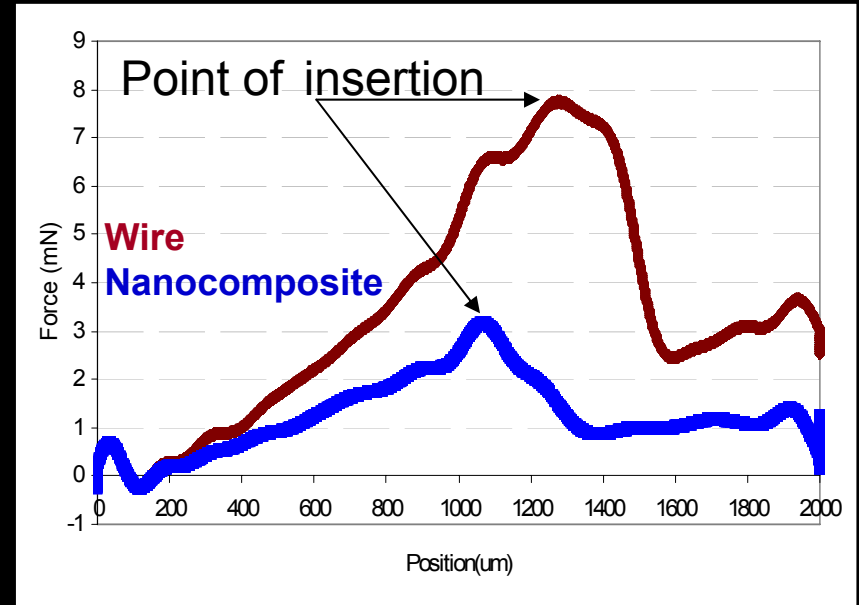


Nanocomposites As Cortical Implants

Initial Trials



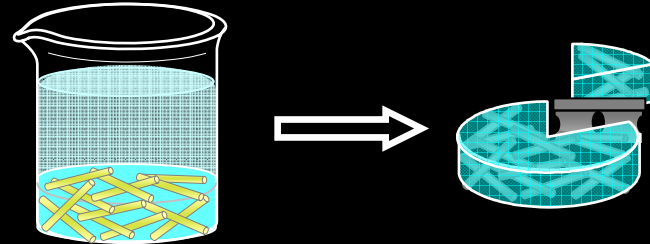
Inserter mounted to a stereotaxic frame to measure the insertion force



- **Preliminary *in vivo* experiments demonstrate improved tissue response**
 - Hand cut crude shaped materials
- **EECS – laser guided fabrication of electrodes**
 - Acute recordings of action potentials from single neurons within the cockroach
 - Large chronic tissue response currently underway (~30 rats)

Conclusions

- **Established robust processing methods to access percolating nanocomposites with nearly ANY matrix polymer**
 - tailored for specific applications



- **Developed mechanically-dynamic materials modeled after the sea cucumber's defense mechanism**
 - contrast in modulus of over 1000 fold through control of supramolecular interactions



- **Preliminary results suggest that the dynamic nanocomposite cortical electrodes will increase the longevity of the electrodes, and could lead to more clinical application of the technology.**

- **Contributors / Collaborators**

- **Dr. Christoph Weder & Lab – Materials development**
 - Kadhira Shanmuganathan – PVAc/TW materials development
 - Otto van den Berg – Template approach for nanocomposites
 - Michael Schreoter – Template approach for nanocomposites
 - Jill Kunzelman – Video
 - J.D. Mendez – Conductivity measurements
- **Dr. Stuart Rowan – Materials development**
- **Dr. Dustin Tyler & Lab**
 - James Harris – Tissue response to dynamic materials
- **NASA Glenn – Polymeric Materials Branch**
 - Dr. Lynn Capadona – Template approach for nanocomposites
 - Linda McCorkle – SEM
- **Dr. Chris Zorman & Lab – Electrode development**
 - Jeremy Dunning – Electrode development
 - Alison Hess – Electrode development
- **Jack Johnson – AFM**
- **F. Carpenter – Sea cucumber photos**

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